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OCT 20 2006

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Date: October 20, 2006  
To: USPTO  
Firm:  
Tel. #:  
Fax #: 1.571.273.8300  
From: Laurence P. (Larry) Colton  
Your Ref.: 09/990,796  
Our Ref.: 148716.00700

Number of pages including this cover sheet 29  
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PTO/SB/21 (09-04)

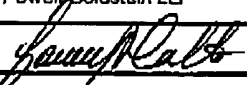
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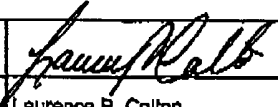
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/990790	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b>  <b>OCT 20 2006</b>
	Filing Date	November 14, 2001	
	First Named Inventor	Focke	
	Art Unit	3721	
	Examiner Name	Truong, Thanh K.	
Total Number of Pages In This Submission	28	Attorney Docket Number	148716.00700 (20805.007US)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks _____ Please direct any questions to the attorney of record, Laurence P. Colton, at 404.572.6710.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Powell Goldstein LLP		
Signature			
Printed name	Laurence P. Colton		
Date	20 October 2006	Reg. No.	33,371

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Date	20 October 2006

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
148716.00700

In Re Application Of:

FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

Title: PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER  
AS WELL AS A REEL UNITRECEIVED  
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Address to:  
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Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**Docket No.  
148716.00700In Re Application Of:  
FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

Invention: PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER AS WELL AS A REEL UNIT

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This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

## Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
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Dated: 20 October 2006

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	09/990796
Filing Date	November 14, 2001
First Named Inventor	Focke
Art Unit	3721
Examiner Name	Truong, Thanh K.
Attorney Docket Number	148716.00700

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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,551,245	12-29-1970	Gamberini	
		US-			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP 2000-229606	08-22-2000	KOKAI		
		JP 56-032218	04-01-1981	KOKAI		
		JP 06-144424	06-24-1994	KOKAI		
		JP 58-201611	11-24-1093	KOKAI		
		JP 2000-191196	07-11-2000	KOKAI		
		JP 2000-016417	01-18-2000	KOKAI		

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**Art Unit**

3721

**Examiner Name**

Truong, Thanh K.

Sheet

1

of

1

Attorney Docket Number

148716.00700

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T

**KUSUNAGA, Yoshitaka, Examiner's Notice Re Notification of Reasons For Rejection,  
August 17, 2006, Japanese Patent Office, Japan (Japanese and English Translation)**

**Examiner  
Signature**

Date \_\_\_\_\_

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